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(ESQE)

Attachment 4.40-C Page 1 OMB No.:

STATE PLAN UNDER TITLE MIX OF THE SOCIAL SECURITY ACT

State/Territory: New York

ELIGIBILITY CONDITIONS AND REQUIREMENTS

Procedures for Scheduling and Conduct of Standard Surveys

The State has in effect the following procedures for the scheduling and conduct of standard surveys to assure that it has taken all reasonable steps to avoid giving notice.

New York State utilizes the flexible survey schedule which assigns nursing home surveys on a variable interval basis according to facility performance. Poor facilities are surveyed more often, whereas good facilities are surveyed less often. Facilities are surveyed in accordance with the Federal Surveillance process at 6-10 month, 10-13 month and 12-15 month intervals. These wide intervals makes it difficult for nursing homes to predict a general survey date, thus enabling the State to conduct surveys on a surprise basis. The flexible survey schedule ensures the average survey cycle is no more than twelve months.



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